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1 **One link to link them all: Indirect response activation through stimulus-stimulus**
2 **associations in contingency learning**

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10 Author note

11 The authors made the following contributions. Mrudula Arunkumar: Conceptualization,
12 Data collection & Analysis, Writing - Original Draft Preparation, Writing - Review & Editing;
13 Klaus Rothermund: Writing - Review & Editing, Supervision; Carina G. Giesen:
14 Conceptualization, Writing - review and editing, Supervision.

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21 Abstract

22 A conditioned response to a stimulus can be transferred to an associated stimulus as seen in

23 sensory preconditioning. In this research paper, we aimed to explore this phenomenon using a

24 stimulus-response contingency learning paradigm using voluntary actions as responses. We

25 conducted two preregistered experiments that explored whether a learned response can be

26 indirectly activated by a stimulus (S1) that was never directly paired with the response itself.

27 Importantly, S1 was previously associated with another stimulus (S2) that was then directly and

28 contingently paired with a response (S2-R contingency). In Experiment 1a, an indirect activation

29 of acquired stimulus-response contingencies was present for audiovisual stimulus pairs wherein

30 the stimulus association resembled a vocabulary learning set up. This result was replicated in

31 Experiment 1b. Additionally, we also found that the effect is moderated by having conscious

32 awareness of the S1-S2 association and the S2-R contingency. By demonstrating indirect

33 activation effects for voluntary actions, our findings show that principles of Pavlovian

34 Conditioning like sensory preconditioning also apply to contingency learning of stimulus-

35 response relations for operant behaviour.

36 *Keywords:* Stimulus-Stimulus Associations, Sensory Preconditioning, Contingency

37 learning

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40 One can pick up associations not only between stimuli and responses, but also between
41 two or more stimuli. To demonstrate associations between two stimuli, a combination of
42 stimulus-stimulus (S-S) and stimulus-response (S-R) pairings is used in a procedure called
43 sensory preconditioning (Brogden, 1939) popular in the Pavlovian Conditioning literature. In
44 sensory preconditioning, two unrelated, neutral stimuli are repeatedly presented together (e.g., a
45 light and a tone) to create a stimulus-stimulus association in a first phase. Then, in a second
46 phase, one of the stimuli (e.g., light) is paired with an unconditioned stimulus that elicits a
47 response (e.g., food [*unconditioned stimulus*, US] that leads to salivation [*unconditioned*
48 *response*, UR]). This renders the light stimulus a *conditioned stimulus* (CS), which elicits
49 salivation as a *conditioned response* (CR; *direct* response activation). In the crucial third and last
50 phase, the other stimulus (i.e., the tone) from the first phase is presented to test whether the
51 *associated stimulus* will also elicit the conditioned response (*indirect* response activation).
52 Evidence for sensory preconditioning (i.e., indirect response activation) has been reported in
53 animals (Espinete et al., 2004; Kimmel, 1977) as well as in humans (Barr et al., 2003; Dunsmoor
54 et al., 2011).

55 These findings are remarkable because the associated stimulus has never been directly
56 paired with the unconditioned response. Due to its association with the conditioned stimulus
57 (established in the first phase), the associated stimulus can elicit the conditioned response
58 indirectly via an S-S association. In other words, the response can be transferred to another
59 stimulus by means of common associations via the conditioned stimulus.

60 Recent studies show that such transfer effects also occur in human learning, evidenced in
61 both neurological (Wimmer & Shohamy, 2012) as well as behavioral studies (e.g., Bejjani et al.,

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62 2018). Studies on evaluative conditioning (EC) also demonstrate learning effects that are
63 reminiscent of sensory preconditioning (Walther, 2002). Walther (2002) showed that the
64 spreading attitude effect to another stimulus that was not directly paired with a valence value
65 occurred even without explicit verbal knowledge or awareness of the associations (see also
66 Hammerl & Grabitz, 1996; De Houwer et al., 2001). Beyond attitudes, the semantic meaning of
67 words is also transferrable to similar words (e.g., synonyms) or to pseudowords that co-occurred
68 with a meaningful word (Staats et al., 1959a; Staats, et al., 1959b). Pavlovian Conditioning (PC)
69 effects typically occur at the level of reflexes (i.e., autonomous responses to biologically relevant
70 stimuli). Against this background, sensory preconditioning is an interesting phenomenon, because
71 it reflects a learning effect for stimuli without biological relevance. Although sensory
72 preconditioning-like effects have been explored to show transfer of learning in these above
73 examples with attitudes and semantic meaning, it has not yet been directly tested with voluntary
74 responses. It is also striking that in terms of procedure and also in terms of effects, many PC
75 principles known from animal studies can be transferred to contingency learning in humans (for
76 an overview, see De Houwer & Beckers, 2002). Hence, we explored whether sensory
77 preconditioning-like effects are possible in human contingency learning. Demonstrating such an
78 effect in the contingency learning paradigm will foster our understanding of the processes
79 underlying human contingency learning. In particular, it will shed light on the question whether
80 PC principles also apply to recency-based episodic retrieval processes, to which contingency
81 learning effects have been attributed (Giesen et al., 2020; Schmidt et al., 2020).

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82 **Study aims and hypotheses**

83 In the present study, we aim to investigate the phenomenon of sensory preconditioning in
84 a contingency learning (CL) paradigm with voluntary actions (Schmidt et al., 2007). In this
85 paradigm, Schmidt and colleagues (2007) systematically paired words with colours and responses
86 in a colour classification task, which facilitates responding (faster responses, less errors) for
87 frequent word-colour combinations compared to rare combinations (Schmidt et al., 2007; see also
88 Schmidt & De Houwer, 2019). This paradigm is structurally similar to a PC paradigm, in that
89 irrelevant stimuli (words, \approx CS) are systematically paired with relevant stimuli (colours, \approx US) and
90 responses (key presses, \approx UR), eventually leading to an activation of response tendencies (\approx CR)
91 that are related to the contingent colour for the previously neutral word stimuli. The crucial
92 difference between this type of CL and a prototypical PC paradigm is the type of the response.
93 Whereas PC studies typically focus on respondent behavior, that is, on responses that are
94 unconditionally triggered by certain stimuli (e.g., saliva secretion elicited by food; reflexes), the
95 CL paradigm investigates the transfer of a voluntary response (e.g., key press) that is assigned to
96 an eliciting stimulus via arbitrary task rules (e.g., blue font colour --> press left) to an irrelevant
97 stimulus that is contingently paired with the relevant stimulus or response. Due to the structural
98 similarity between the two paradigms, it has been speculated that CL effects might be driven by
99 similar mechanisms as PC effects (e.g., Giesen & Rothermund, 2014), and thus should be subject
100 to the same principles that have already been demonstrated in the realm of PC (e.g.,
101 *overshadowing*, Arunkumar et al., 2022). To further test this hypothesis, we conducted a series of
102 experiments that investigated whether sensory preconditioning effects that have regularly been
103 demonstrated in PC can also be obtained for human contingency learning since CL and PC share
104 structural similarities (De Houwer & Beckers, 2002). Regarding previous learning studies,

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105 evidence for such a transfer was observed using cognitive control states: Bejjani et al., 2018;
106 valence information: Walther, 2002; or motivationally incentivized choices: Wimmer &
107 Shohamy, 2012. In the present study, we investigated whether learnt stimulus-response
108 contingencies can be transferred to an associated stimulus, which would demonstrate indirect
109 response activation effects in contingency learning involving voluntary responses. Specifically,
110 we explored whether multimodal stimulus pairs can foster such an indirect response activation
111 effect of the learnt contingent response to the associated stimulus from another modality.

112 As commonly seen in everyday life, we are exposed to stimuli from different modalities.
113 Particularly in language learning we pick up vocabulary from both audio and visual cues and
114 associate it with the word we know in our native language. As already found in the literature,
115 semantic properties of the words can also be transferred to associated stimuli that are other words
116 or pseudowords (e.g., Staats et al., 1959a). Language learning models have also explored the
117 mechanism underlying how we learn new foreign language words, hypothesizing that the
118 association between words (for example, foreign language word and native language words)
119 mediated the association of the new word and the referent object (e.g., Kroll et al., 2010; Dijkstra
120 & Van Heuven, 2002). Through this indirect lexical access, one can deduce meaning and learn
121 new vocabulary. Inspired from this rationale, we created a paradigm that resembled a vocabulary
122 learning scenario to investigate whether two newly associated stimuli can transfer learnt
123 responses from one stimulus to the other.

124 Our contingency learning paradigm works as follows: In phase 1, two unrelated stimuli (a
125 made-up language new word (pseudoword) and a German word) are presented together. The
126 pseudoword is always presented auditorily, whereas the German word is presented visually on

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127 screen. Participants are instructed to observe and read the German word aloud, which should help
128 in learning stimulus-stimulus (S1-S2) associations. This was later tested at the end of the
129 experiment using a cued recall test. We chose a pseudoword as an auditory stimulus to resemble a
130 new vocabulary learning set up. In phase 2, stimulus-response (S-R) associations for one stimulus
131 (e.g., S2) of each S1-S2 pair were established, by presenting the S2 word as a contingent
132 predictor for a number identification response in phase 2. In phase 3, we tested whether the *other*
133 associated stimulus of each pair (i.e., S1) can access and indirectly activate the response that was
134 linked to its associated (S2) stimulus in the preceding phase 2.

135 To test whether S1 stimuli can trigger indirect response activation that is mediated by an
136 associated stimulus, a free choice paradigm was chosen. In a free choice task, participants can
137 freely choose which action to perform (typically, key presses) to a presented stimulus. Such a
138 task is commonly used to examine which cognitive mechanisms underlie the production of
139 voluntary actions (Elsner & Hommel, 2001; Vogel et al., 2018). This presents a viable method to
140 investigate whether a stimulus can access and indirectly activate the response that was linked to
141 its associated stimulus.

142 Indeed, many principles from PC known from animal studies can be transferred to human
143 contingency learning at the level of voluntary responses (for an overview, see De Houwer &
144 Beckers, 2002). However, obtaining PC effects in humans typically requires explicit awareness of
145 stimulus pairings in participants (De Houwer, 2009; Lovibond & Shanks, 2002; Mitchell et al.,
146 2009), whereas S-R contingency learning can be acquired (Schmidt et al., 2007; 2010) and also
147 retrieved (Giesen & Rothermund, 2015) independent of awareness. It is thus not clear whether
148 contingency learning in more complex learning setups like sensory preconditioning requires

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149 awareness of stimulus pairings or not. In order to investigate any mediating role of awareness for
150 indirect response activation effects, we added measures of S-S and S-R awareness.

151 **Experiment 1a and 1b**

152 Two experiments were designed to test indirect response activation by accessing learnt S-
153 R contingencies for previously associated stimuli. *Experiment 1a* aimed to establish a connection
154 between two stimuli of different modalities (i.e., a familiar German word presented visually and a
155 new pseudoword presented auditorily). We then aimed to test whether a learnt stimulus-response
156 contingency for the German word can then later be accessed by the associated pseudoword and
157 affect free choices in a guessing task. Furthermore, we replicated Experiment 1a in Experiment
158 1b to further validate the findings of Experiment 1a by counterbalancing the stimulus pairs and
159 contingencies across participants to eliminate the potential confound of type of stimuli and
160 responses in leading to an indirect response activation. All materials, preregistrations, data, and
161 analyses for all experiments are available online (<https://osf.io/aj2eg/>).

162 **Method**163 **Required sample size and preregistration.**

164 The sample size was determined based on *a-priori* power calculation using *G*Power*
165 (Faul et al., 2007). To detect an effect of $d_z=.40$ (Brysbaert, 2019) with a power of $1-\beta=.80$ and
166 $\alpha=.05$, $N=71$ participants were needed. The study design and analyses plan for Experiment 1a and
167 Experiment 1b were preregistered on the Open Science Foundation (OSF) using the

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168 AsPredicted.com template (<https://doi.org/10.17605/OSF.IO/FC5U3> for Experiment 1a and
169 <https://doi.org/10.17605/OSF.IO/TCRM5> for Experiment 1b).

170 Participants

171 In Experiment 1a, N=71 participants were recruited ($M_{\text{age}} = 21.76$ years). The experiment
172 was an online study, built on Psychopy (v2021.2.3, Peirce et al., 2019) and was hosted on
173 Pavlovia (<https://pavlovia.org/>) for online data collection and lasted for 20 minutes. Participants
174 were German students of FSU Jena and other participants in the age range of 18-35 years who
175 were recruited through word of mouth. Among the participants, those who were students of FSU
176 Jena were compensated with partial course credits. For Experiment 1b, also N = 71 participants
177 were recruited ($M_{\text{age}} = 21.14$ years) however this time the participants were recruited via Prolific
178 and comprised of German native speakers between the age group of 18-35 years. The participants
179 were compensated £ 3.50 according to the norms of Prolific. Only German native speakers were
180 recruited since the stimulus pairs used in both Experiment 1a and Experiment 1b had a German
181 word as S2. Informed consent was given by the participant at the start of study by pressing “j”
182 upon reading the form displaying the details of the study, the type of data collected,
183 compensation amount and if there are any known risks in participating in this study. Ethical
184 approval was not required for this study as we did not convey any misleading or suggestive
185 information (this is in accordance with the ethical standards at the Institute of Psychology of FSU
186 Jena)

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187 **Material & Procedure**

188 The participants were instructed to only use their laptop. This study consisted of three
189 phases. Before each phase, the instructions were displayed in white font on a black screen. In the
190 first phase, participants were made to learn a stimulus-stimulus association wherein the visual S2
191 always followed a particular auditory S1 (100% contingency). Two S1-S2 pairs were introduced
192 in Phase 1 of the study. The participants were asked to read the S2 aloud and informed that the
193 responses would be recorded by the microphone. To make this more convincing, prior to Phase 1
194 participants also read a short question that tested the microphone, and few reminders were also
195 provided to read the word aloud. However, no microphone response was recorded, nor was there
196 any access to their microphones. We used this mock setup to ensure that the participants paid
197 attention to the word pairs during Phase 1 (this was revealed to participants when they were
198 debriefed at the end of the study). The stimuli were chosen to be tailored for German participants.
199 As S1, the pseudowords were chosen from a list of existing pseudowords (Simone et al., 2020)
200 that were standardised and checked for being phonotactically legal with German. *Mank* and *dels*
201 were the selected pseudowords (S1) which were recorded by a female German native speaker. As
202 S2, *Haus* (house) and *Wald* (forest) were selected as the German words. The screen was black
203 and the words were presented in the Arial font with height 0.04 (units of Psychopy). In
204 Experiment 1a, to add a layer of distinction between the audiovisual displays and the pairs, the
205 words were displayed in colour: *Haus* was shown in blue and *Wald* in yellow. The colour was
206 irrelevant to the task or the study design and was not mentioned in the instructions. However,
207 since this did not serve any purpose, in Experiment 1b, the words were displayed in white against
208 a black background. In Experiment 1a, all participants observed *mank* followed by *Haus* and *dels*
209 followed by *Wald* and this was not counterbalanced across participants. To eliminate any

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210 confound of a stimulus pair favouring response transfer, we counterbalanced the stimulus pairs in
211 Experiment 1b such that approximately half of the participants (N = 38) learnt the pair of *mank*
212 (S1) – Haus (S2) and *dels* (S1) – Wald (S2) and the rest of the participants (N = 33) learnt the
213 pair of *mank* (S1) – Wald (S2) and *dels* (S1) – Haus (S2). In total, Phase 1 consisted of 80 trials
214 (40 occurrences of each pair). The association between the pseudoword and German word was
215 built using a 100% contingency. A given trial started with a row of fixation crosses displayed for
216 600 ms followed by a blank screen for 200 ms and an auditory presentation of pseudowords for
217 800 ms, followed by the visual presentation of the German word for 800 ms (See Figure 1).

218 To establish a S2-R contingency, a forced choice number identification task was used in
219 Phase 2. Participants had a short attention check to see if they remembered the instructions
220 accurately. After the attention check, there was a short practice block consisting of 8 trials after
221 which Phase 2 began. Participants saw the number 4 or 8 that appeared in the middle of the
222 screen and responded by pressing the corresponding number key on the keyboard. The S2
223 (German visual word) was predictive of the number keypress with a 90% contingency. In
224 Experiment 1a, 90% of the time, *Haus* was followed by the number 8 and *Wald* was followed by
225 the number 4 for all the participants. In Experiment 1b, stimulus-response assignment in phase 2
226 was also counterbalanced: For half of the participants (N = 35), *Haus* was mostly predictive of
227 the number (thus response key) 8 and *Wald* was mostly predictive of 4, both with a 90%
228 contingency. The remaining participants (N = 36) observed a 90% contingency of *Haus* followed
229 by the number 4 and *Wald* followed by the number 8. The trials where the contingent number
230 was shown are referred to as valid trials and the trials where the non-contingent number appeared
231 are referred to as invalid trials. Phase 2 consisted of 100 trials (90 valid and 10 invalid trials). The
232 trial sequence in both the experiments (see Figure 1) was as follows: First a fixation cross was

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233 displayed for 500 ms and the S2 was displayed for a fixed amount of 500 ms followed by the
234 number 4 or 8 presented in the centre of the screen until the response was given. Participants
235 received error feedback and were asked to press the correct key and they were warned if they
236 took longer than 2000 ms to respond.

237 *Phase 3* contained only free choice trials where participants guessed what number they
238 expected to appear after a particular word. Both S1 or S2 words could appear in Phase 3.
239 Participants were informed of the accuracy rate for S2 guesses in the free choice trials at the end
240 of Phase 3. In total, this phase consisted of 80 trials, 40 with S1 and 40 with S2. The trial
241 sequence was similar to Phase 2, wherein after the display of fixation cross for 500 ms, either S2
242 words were again presented visually for 500 ms or S1 pseudowords were presented auditorily for
243 800 ms (which was the length at which the audio words could be heard clearly). Both, words (S2)
244 and pseudowords (S1), were followed by “?” and we asked participants to freely choose the
245 response by pressing the relevant response key depending on the number they guessed should
246 have appeared (Figure 1).

247 After Phase 3, a short cued-recall test regarding the S1-S2 pairs and a questionnaire
248 followed. This test consisted of two trials where each trial started with a fixation cross for 500 ms
249 followed by S1 for 800 ms. After this, a “?” appeared for 800 ms which was followed by a
250 question asking what word should have appeared with three options: One option was the correct
251 associated S2 and the other two options were the other remaining S2 word and “*do not know*”; the
252 order in which the options were presented on screen was randomly generated for each stimulus.
253 We asked the participants to press the number corresponding to the option containing the correct
254 associated S2. For Experiment 1b, only two options were shown, as the “*do not know*” option was

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255 removed. After the cued recall test, a questionnaire in German followed where we asked
256 questions concerning their level of concentration and whether they had the impression that they
257 learnt a new language. The questions (translated) were as follows: *During the study, did you have*
258 *any distractions?* and *Did you learn a word from a new language?*, which could have meant that
259 they transferred the semantic meaning of the German word that followed the pseudoword. We
260 instructed the participants to respond in a forced choice yes/no manner where they were asked to
261 press “j” if yes, “n” if no and “k” if they are unsure or do not know. Additionally, we assessed
262 awareness of S2-R contingency also in the form of a questionnaire. The questions (translated)
263 were as follows: *What number mostly occurred with Haus/Wald?* The question was presented on
264 the screen and participants were asked to respond by pressing the key, 4 if the response is 4, 8 if
265 the response is 8 and ‘k’ if they do not know. Finally, questions regarding the possible response
266 guess for S1 were also presented: *What number do you think could have occurred with mank/dels*
267 *(presented auditorily; response options: 4, 8, k for do not know)?* In Experiment 1b, the do not
268 know option was removed to have a more direct measure of awareness.

269 **Design**

270 In Phase 2, contingency learning between S2-R contingencies was analyzed by comparing
271 the performance (in reaction time and error rates) in valid (90%) and invalid (10%) trials. In
272 Phase 3, the performance was assessed by measuring the proportion of response choices that
273 corresponded to valid contingent responses. Hence, for S2 words, free choice performance served
274 as an additional check for contingency learning (direct response activation). To test the
275 hypothesis, the performance of S1 free choice trials (S1 Transfer) was analyzed to check whether

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276 participants transferred the valid contingent response of the associated visual S2 to auditory S1,
277 thus assessing the indirect response activation effects.

278 **Data analysis**

279 We used R [Version 4.1.2; R Core Team (2022)] for all our analyses namely packages
280 stats (v4.2.1) for the analysis concerning the direct and indirect retrieval effects and lme4 for the
281 analyses using the multilevel modelling to assess the role of awareness.

282 **Experiment 1a Results**283 **Data Preparation**

284 All participants were included in the analyses. No data was collected from Phase 1
285 (however, memory for S-S associations was assessed at the end of the experiment). Reaction time
286 (RT) and error rates (ER) were collected for Phase 2. For RT analyses, erroneous RTs (5.3%) and
287 RT outlier¹ values per individual (3.6%) were excluded from all analyses. Response choices (%)
288 were collected for Phase 3.

289 **Contingency learning effects.**

290 **Phase 2 (Acquisition of S-R contingencies).** For the forced choice number identification
291 task, the RTs and ERs were analyzed as a function of validity (valid vs. invalid). Table 1 shows
292 the mean RT per validity condition. For RT, a directional *t* test revealed that participants
293 performed significantly faster on valid compared to invalid trials, $\Delta = 30.4\text{ms}$, $t(70) = 6.31$, p

¹ RT faster than 150 ms or slower than 1.5 interquartile ranges above the 75th percentile of the individual RT distribution were regarded as outliers (Tukey, 1977).

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294 $<.001$, $d_z = 0.75$. The same was true for ER, as participants committed less errors for valid
295 compared to invalid trials, $\Delta = 13.7\%$, $t(70) = 6.31$, $p <.001$, $d_z = 0.75$ (Table 1). This indicates
296 that participants successfully learnt the association between the S2 and the response and exhibited
297 S2-R contingency learning.

298 **Phase 3 (direct response activation of acquired S-R contingencies).** To check the
299 response activation effects we analyzed the proportion of valid response choices for S2 words. If
300 the response choice was the response that corresponded to the S2-response mapping from Phase
301 2, it was labelled as a valid response choice. If the response chosen reflected the other,
302 noncontingent response, then it was labelled as an invalid response choice. For the S2s, the
303 participants' proportion of valid response choices was tested against 50% to check whether they
304 more often chose the contingent response, thus providing additional evidence showing that S2-R
305 contingency was established. The directional t test results showed that the mean proportion of
306 valid response choices for S2 was significantly better than 50%, $\Delta = 75.1\%$, $t(70) = 7.29$, $p <.001$,
307 $d_z = 0.87$ (see Figure 2).

308 **Indirect response activation effects**

309 To test whether participants were able to transfer the response from the associated S2 to an
310 S1 that was never directly paired with the response, the free choice responses for S1-Transfer
311 stimuli were analyzed. For S1, response choices that corresponded to the associated S2-response
312 mapping from Phase 2 were coded as valid response choices; otherwise, they reflected invalid
313 response choices. While looking at the performance for the auditory S1 trials, the participants
314 also chose valid responses significantly more often than chance level (50%), $\Delta = 71.3\%$, $t(70) =$
315 6.62 , $p <.001$, $d_z = 0.79$. As an exploratory analysis suggested by an anonymous reviewer, we

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316 found that these indirect activation effects did not significantly differ from the direct activation
317 effects using a paired t test, $t(70) = 1.63$, $p = .10$, $d_z = 0.19$. This supports the evidence that
318 participants can transfer the response even across modalities from a native language word in
319 visual modality (S2) to an associated pseudoword in an auditory modality (S1 Transfer; cf. Figure
320 2).

321 Role of awareness

322 We also explored the role of participants' conjoint awareness of S1-S2 and S2-R
323 contingencies for both S1-S2 pairs. Table 2 shows the number of participants per raw accuracy
324 score level for the questions that explicitly asked about the stimulus-response contingencies for
325 S2 stimuli (two questions, i.e., one for each S2 stimulus) as well as accuracy scores from the cued
326 recall test assessing memory of S1-S2 associations (two questions, i.e., one for each S1 stimulus).
327 To assess the role of awareness, we created a composite awareness score that coded for each S1-
328 Transfer stimulus whether participants had awareness of *both*, the S1-S2 association *and* the S2-
329 R contingency relation of the associated S2. Note that this predictor can take a value of 0
330 (indicating that participants had no conjoint awareness of S1-S2 and S2-R contingencies for this
331 S1) or 1 (indicating that participants correctly identified both, S1-S2 and S2-R contingencies for
332 this S1). A score of 0.5 indicates that the participants were aware of either the S1-S2 association
333 or the S2-R contingency (see Table 2). For the analysis of the role of awareness, only the values
334 of 0 and 1 per stimulus were considered. This composite awareness score was then entered into a
335 multi-level random intercept model on proportion of valid response choices for S1-Transfer
336 stimuli to test the role of having awareness of *both* S1-S2 association and the S2-R contingency
337 on choosing the valid response for the S1-transfer stimuli in Phase 3. The model showed a

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338 significant role of awareness in producing the effects of indirect response activation (OR = 8.52,
339 $p < .001$, See Table 3). Being aware of both S1-S2 and S2-R relations made participants eight
340 times more likely to produce a valid response choice for the S1-transfer stimuli. It showed that
341 the indirect response activation effects are mediated by conjoint awareness of both the S1-S2
342 association and the S2-R contingency (Figure 3).

343 **Experiment 1b Results**344 **Data Preparation**

345 The same exclusion criteria for slow, fast, and incorrect RTs as for Experiment 1a were
346 implemented in Experiment 1b. Due to excessive error rates (100%), data of one participant were
347 excluded from all the analyses. Thus, we proceeded with $N = 70$ participants. Accordingly, at the
348 trial level, for RT analyses in Phase 2, erroneous trials (4.1%) and RT outlier values per
349 individual (4%) were excluded.

350 **Contingency learning effects.**

351 **Phase 2 (Acquisition of S-R contingencies).** For the forced choice number identification
352 task, the RTs and ERs were analyzed. S2-R contingency learning was tested as a function of
353 validity (valid vs. invalid). For RT, participants performed significantly faster on valid compared
354 to invalid trials, $\Delta = 22.8$ ms, $t(69) = 5.38$, $p < .001$, $d_z = 0.64$. The same was true for ER, as
355 participants committed less errors for valid compared to invalid trials, $\Delta = 7.6\%$, $t(69) = 4.9$, p
356 $< .001$, $d_z = 0.59$. (Table 1). This indicates that participants successfully learnt the association
357 between the S2 and the response and exhibit successful S2-R contingency learning.

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358 **Phase 3 (direct response activation of acquired S-R contingencies).** Similar to
359 Experiment 1a, we analyzed the proportion of valid response choices made for the free choice S2
360 trials in Phase 3. For S2s, the participants' proportion of valid response choices was tested against
361 50% to check whether they were inclined to choose the contingent response. The *t* test results
362 showed that the mean proportion of valid response choices for S2 was significantly better than
363 50%, proportion of valid responses $\Delta = 69.8\%$, $t(69) = 5.15$, $p < .001$, $d_z = 0.62$ (see Figure 2).

364 **Indirect response activation effects**

365 While looking at the performance for the auditory S1-transfer trials, the participants also
366 chose valid responses significantly more often than chance level (50%), $\Delta = 63.5\%$, $t(69) = 3.76$,
367 $p < .001$, $d_z = 0.45$. Similar to Experiment 1a, we found that these indirect activation effects did
368 not significantly differ from the direct activation effects using a paired *t* test, $t(69) = 1.74$, $p = .08$,
369 $d_z = 0.20$. This further validates the result that participants can transfer the response even across
370 modalities from a native language word in visual modality (S2) to an associated pseudoword in
371 an auditory modality (S1-transfer; cf. Figure 2).

372 **Role of Awareness**

373 The accuracy scores for S1-S2 and S2-R relations at the end of the experiment were
374 calculated (cf. Table 2). The composite score referring to the participants' conjoint awareness of
375 the S1-S2 association and the S2-R contingency for each auditory S1 was computed. This
376 composite awareness score for the particular stimulus (only 0 and 1) was then entered into a
377 multi-level random intercept model using proportion of valid response choices for S1-Transfer
378 stimuli as a dependent variable to test the role of having awareness of both S1-S2 association and

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379 the S2-R contingency on choosing the valid response for the auditory S1 in Phase 3. The model
380 showed a significant role of awareness in producing the effects of indirect response activation
381 (OR = 5.70, $p < .001$, see Table 3) where the combined awareness of *both* S1-S2 association and
382 the S2-R contingency made participants five times more likely to choose the valid response
383 choice. Thus, this finding adds further support for the evidence that the indirect response
384 activation effects are mediated by conjoint awareness of both the S1-S2 association and the S2-R
385 contingency (Figure 3).

386 **General Discussion**

387 We conducted two experiments² to explore whether a voluntary response can be indirectly
388 activated by a stimulus (S1) that was never directly paired with the response itself. Crucially, S1
389 was previously associated with another stimulus (S2), that was directly and contingently paired
390 with a response (S2-R contingency). A similar phenomenon has been demonstrated in animal and
391 human PC studies using the sensory preconditioning paradigm. Our study aimed to look at
392 whether such a transfer is possible in a contingency learning paradigm (Schmidt et al., 2007) that
393 uses operant behaviour – i.e., behaviour that is under voluntary control. We therefore employed a
394 contingency learning paradigm (Schmidt et al., 2007) to contingently pair a voluntary response
395 with a stimulus and later test if it can be indirectly activated by an associated stimulus that had
396 previously been paired only with the first stimulus (indirect transfer). Notably, we used
397 multimodal stimulus pairs resembling a vocabulary learning set up involving an auditory

² Note that we ran two other experiments where we tested the indirect response activation effect among various classes of S1-S2 associations like adjective pairs or trait-name pairs. Indirect retrieval effects were absent for arbitrary linked words (adjective word pairs) and weak but significant for adjective-trait word pairs. Material, data and analyses for these unpublished data are accessible at the OSF repository (<https://osf.io/aj2eg/>).

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398 pseudoword (new language word) and a native language word (presented visually) as the S1-S2
399 association. Both our experiments found that indirect response activation effects were present,
400 indicating that the auditory S1 could indirectly activate the response that was contingently paired
401 with the associated visual S2. Our results show that sensory preconditioning-like effects can be
402 demonstrated at the level of human contingency learning using voluntary responses.

403 Although we obtained reliable and robust effects of indirect response activation in both
404 experiments, we want to point out that this might not always be the case (see also Footnote 2).
405 Thus, one could argue that indirect response activation effects are limited to conditions in which
406 S-S pairs are particularly intuitive to learn. The present experiments endorsed a setup that
407 resembled vocabulary learning, which could have made it easier for participants to remember the
408 S1-S2 association. Possibly, a form of semantic generalization occurred, meaning that
409 pseudowords were assumed to share semantic features with the German words. This might have
410 aided memory for S1-S2 associations and indirect response activation (Staats et al., 1959a), and
411 further supports the claim that the intuitiveness of the stimulus pairs can contribute to indirect
412 response activation effects. Alternatively, the multimodality of S1-S2 pairs in Experiment 1a and
413 1b could have enhanced the encoding of the word pairs, which would also result in better
414 memory for S1-S2 associations as seen in the accuracy scores during the cued recall test and thus,
415 large indirect response activation effects. Together, semantic generalization and/or multimodality
416 of the stimuli could have been beneficial for the emergence of indirect response activation
417 effects, which supports the idea that the type of S1-S2 association can have an influence on how
418 successfully responses can be indirectly activated and transferred to the associated stimulus
419 (Baeyens et al., 1993; Todrank et al., 1995).

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420 Along similar lines, we also found that awareness played a prominent role in Experiment
421 1a and 1b. Here, the indirect response activation effects were mediated by the conjoint awareness
422 of both the S1-S2 association and the S2-R contingency. Since there was a high number of
423 participants with conjoint awareness in Experiment 1a (N = 43, reflecting 61% of the sample) and
424 in Experiment 1b (N = 33, 47% of the sample; Table 2), it could account for the presence of
425 larger indirect response activation effects. This is a noteworthy finding, because it suggests that
426 indirect response activation effects can follow from contingency awareness (cf. De Houwer,
427 2009) rather than automatic activation of stimulus-stimulus and/or stimulus-response associations
428 (Schmidt et al., 2007; 2010; Giesen & Rothermund, 2015). Whereas studies on the spreading
429 attitude effect shows that transfer can occur without having conscious access to these relations
430 (Baeyens et al., 1993; Walther, 2002), this seems not to be the case for human contingency
431 learning in more complex learning set ups. Therefore, our findings also contribute to the
432 knowledge of factors such as awareness that are conducive to a successful response transfer to an
433 associated stimulus, at least under specific conditions.

434 **Implications**

435 Several aspects are noteworthy about the present findings. First, even though there are
436 studies demonstrating sensory preconditioning-like effects on a behavioral and neurological level
437 (Bejjani et al., 2018; Wimmer & Shohamy, 2012), the present study presents the first evidence
438 for indirect response activation in human contingency learning with instrumental responses.
439 Responses in our study were simple key presses with no history of reward (cf. Wimmer &
440 Shohamy, 2012) or evaluative meaning (Walther, 2002). Second, the findings of our study point
441 towards a strong modulatory influence of awareness (regarding underlying stimulus-stimulus

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442 and/or stimulus-response relations) on indirect response activation for voluntary controlled
443 responses. Further evidence on similar influences of contingency awareness on contingency
444 learning in more complex learning set ups comes from previous studies that explored
445 overshadowing-like effects (Arunkumar et al., 2022) and evaluative learning effects (Giesen et
446 al., 2023) in contingency learning tasks. On the one hand, this insight is consistent with the claim
447 that Pavlovian Conditioning effects in humans require explicit awareness of pairings (e.g., De
448 Houwer, 2009; Lovibond & Shanks, 2002; Mitchell et al., 2009). On the other hand, this finding
449 contrasts with previous explanations of contingency learning as being automatic, reflecting
450 retrieval of incidental and transient stimulus-response bindings that do not require awareness
451 (Giesen et al., 2020; Schmidt et al., 2020; see also Jiménez et al., 2021; Rothermund et al., 2022;
452 Xu & Mordkoff, 2020). Dissociating the roles of awareness-mediated learning and learning that
453 is due to (direct or indirect) stimulus-based retrieval processes may therefore be a promising
454 avenue for future research. Third, there are several potential explanations with regard to the
455 mechanisms underlying the present findings. According to one view, it could be that participants
456 first form S1-S2 associations (phase 1) and S2-R associations (phase 2) independently of each
457 other. Presenting S1 alone (phase 3) will then first activate the associated S2, which will then
458 activate the associated R (chain learning model). However, other scenarios are possible. For
459 instance, it could be that repetition of the S2 in phase 2 will activate the associated S1, which will
460 then directly become associated with the response to S2 (mediated learning model³). Note that
461 both accounts can explain the findings of the present experiments. We want to point out that our
462 major research aim was to demonstrate that in principle, sensory-preconditioning-like effects are

³ We want to thank an anonymous reviewer for making us aware of this alternative account.

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463 possible in human contingency learning. The present experiments were not designed to dissociate
464 between both learning models. In our view, dissociating possible underlying mechanisms behind
465 the basic indirect response activation effect is a promising endeavor for future research. Fourth,
466 as shown in Experiment 1a and 1b, the design of the experiment intended to replicate a scenario
467 where we might learn a foreign language by experiencing mere occurrence of the new word with
468 a word from a native language. In this case the co-occurrence of the foreign language word and
469 native language word form an association which could have been further strengthened by the
470 multimodality feature of the words and/or the intuitiveness of semantic features of the native
471 language words. Later, the appropriate behavior learnt for the native language word is transferred
472 to the foreign language word, which could be reflected in ascribing a shared semantic meaning or
473 an action, like stopping when you see the “stop” sign in a new language. Most importantly, this
474 can occur without having an explicit learning instruction. It can arise from making spontaneous
475 inferences based on stimulus-stimulus and/or stimulus-response co-occurrences that occur in
476 everyday life. Thus, the finding proves useful in aiding vocabulary learning indirectly where the
477 semantic information is transferred. Future research can aim to explore whether this is enhanced
478 and speeds up the language learning process when it is explicitly mentioned that the stimuli
479 associations have the same meaning. Moreover, based on the glimpses from our preliminary data,
480 closely examining the extent of these transfer effects based on the type of stimulus associations
481 can also be an interesting avenue for future research.

482 **Conclusion**

483 We employed the sensory preconditioning paradigm to assess indirect response activation
484 effects in human contingency learning. In detail, we investigated whether a learned response can

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485 be indirectly activated by a stimulus (S1) that was never directly paired with the response itself.
486 Importantly, S1 was previously associated with another stimulus (S2) that was then directly and
487 contingently paired with a response (S2-R contingency). Our findings support that indirect
488 response activation effects, which are reminiscent of sensory preconditioning, emerge even
489 within a contingency learning task. This is present when the context is suggestive of a language
490 learning scenario and consists of multimodal stimuli associations. Importantly, indirect response
491 activation effects for S1 are mediated by and therefore due to having conjoint awareness of both
492 the S1-S2 and S2-R contingencies.

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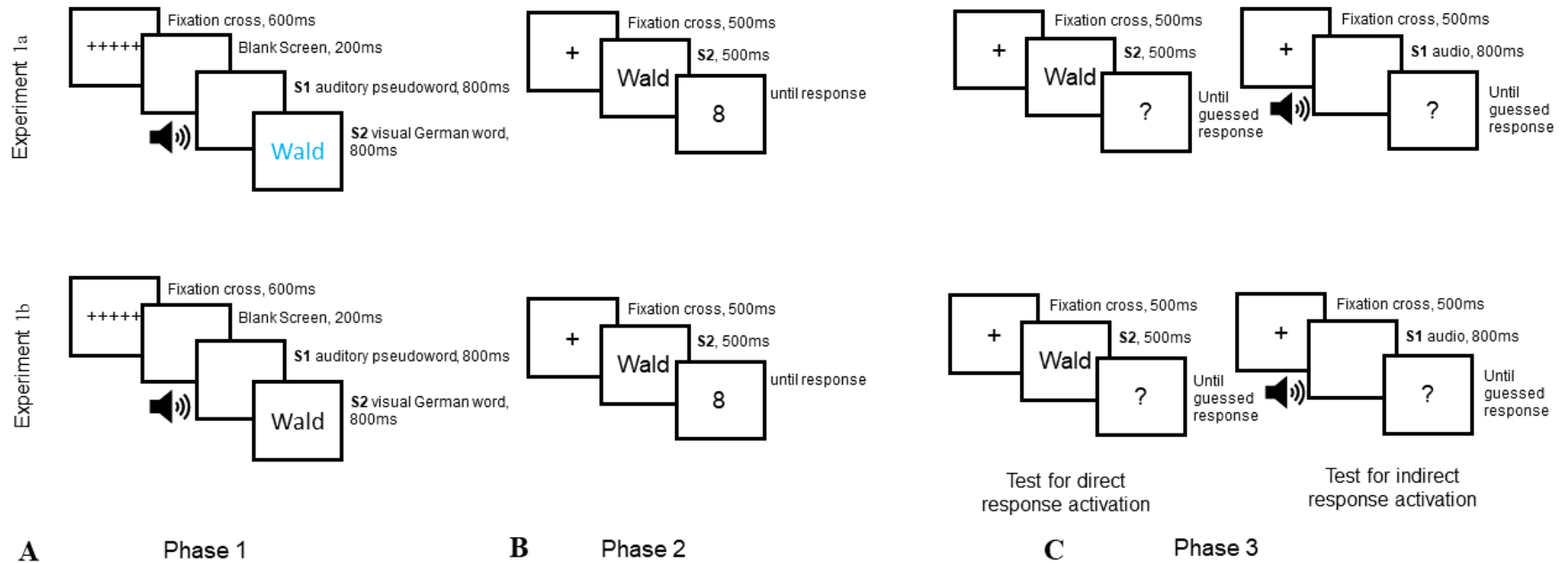
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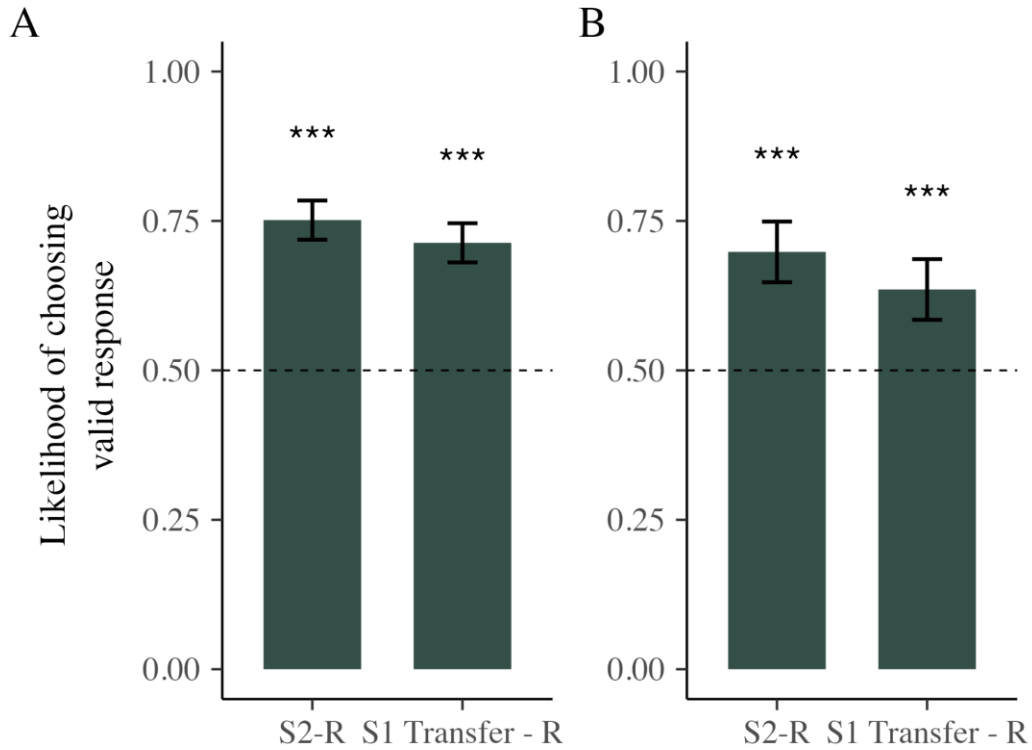
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619 *Figure 1.* Illustration of the trial sequence for the **A.** S-S Association Phase, **B.** Forced Choice trials in Phase 2 and the **C.** Free
 620 Choice trials in Phase 3 for both the experiments. “Wald” is the German word for Forest that was used as a stimulus since the participants
 621 were native German speakers. For illustrative purposes, the text that is not coloured is displayed in black with a white background,
 622 however the experiment had a black screen with the text displayed in colour in Exp. 1a and white in Exp. 1b.

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624 *Figure 2. A.* Mean proportion of valid keypresses per stimulus type in Phase 3 in Experiment 1a,625 **B.** Mean proportion of valid keypresses per stimulus type in Phase 3 for Experiment 1b. 50% of

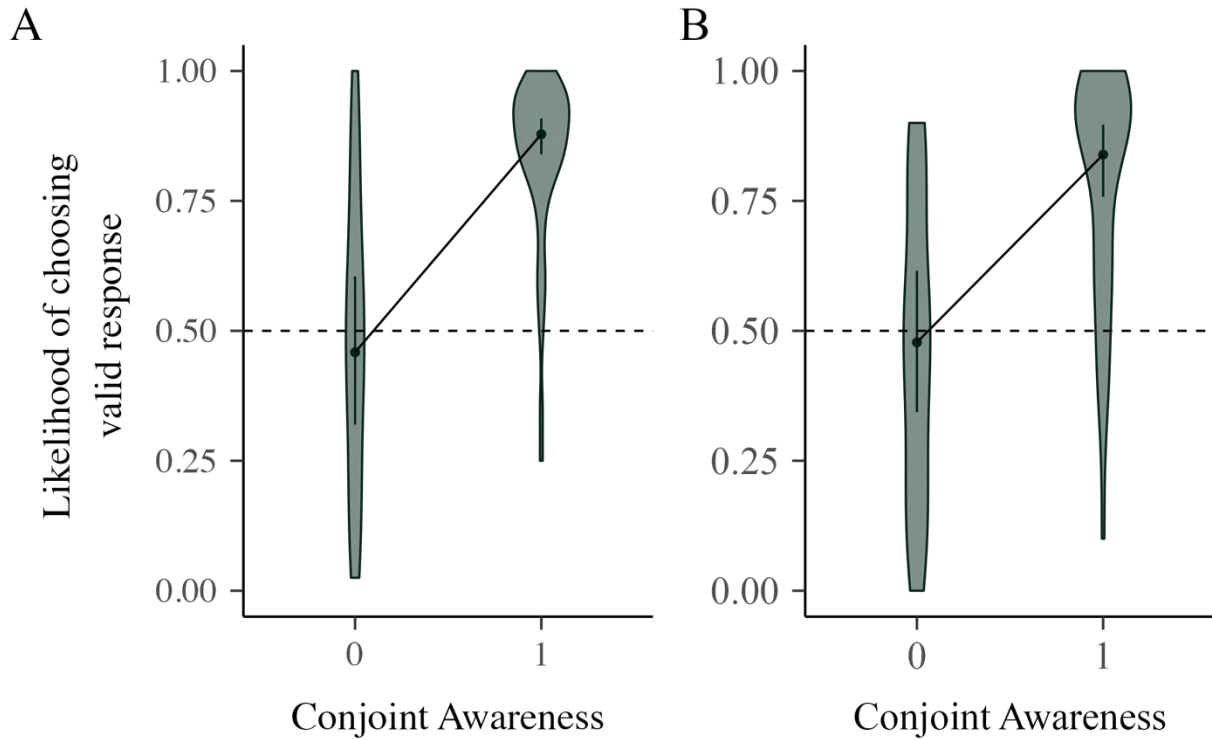
626 mean proportion of valid responses indicates the chance level of choosing the valid response,

627 Error bars: +/- CI, *** = $p < .001$, ** = $p < .05$

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630

631 *Figure 3.* Plot from the model including the factor of awareness of both SS association and S2-R632 Contingency for **A.** Experiment 1a, **B.** Experiment 1b. Having awareness of both S1-S2 pairs and

633 S2-R contingency was associated with a higher chance of choosing the valid response for a

634 respective S1-Transfer stimulus in both experiments.

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637 **Table 1.** Mean Reaction Time and Error Rate (SD) of the performance of trials containing S2 stimuli in Phase 2 for both the studies.

Experiment	Reaction Time (in ms)			Error Rate (in %)		
	S2-R			S2-R		
	Valid	Invalid	CL Effect	Valid	Invalid	CL Effect
Experiment 1a	418 (53)	448 (56)	30	3.9 (2.9)	17.7 (17.9)	13.7
Experiment 1b	411 (49)	434 (53)	23	3.3 (3.3)	11 (12.9)	7.6

Note. CL effect = Contingency Learning effect computed as Mean of invalid trials – Mean of valid trials.

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644 **Table 2.** Number of participants per accuracy score level (raw scores) based on the cued recall test (assessing S1-S2 associations) and the
 645 S2-R contingency question presented at the end of each experiment. Based on this raw accuracy scores, we computed composite
 646 awareness scores and provide the number of participants who had awareness for both, S-S *and* S2-R contingencies

Raw Accuracy Score	Experiment 1a, Number of participants		Experiment 1b, Number of participants	
	S-S	S2-R	S-S	S2-R
0	15	19	9	23
1	0	2	11	0
2	56	50	50	47
Composite score indicating awareness of both, S1-S2 and S2-R across stimulus pairs				
Composite Awareness Score ^a	Number (%) of participants		Number (%) of participants	
0	20 (28.2%)		27 (38.6%)	
0.5	8 (11.2%)		10 (14.3%)	
1	43 (60.6%)		33 (47.1%)	

Note. ^aA composite awareness score of 1 indicates that participants had both, S1-S2 and S2-R awareness for both experimental S1-S2 pairs; a score of 0.5 indicates that participants had S1-S2 and S2-R awareness for only one experimental S1-S2 pair; a score of 0 indicates that participants were not conjointly aware of both S1-S2 and S2-R contingencies.

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648

649 **Table 3.** Multilevel analysis on proportion of valid response choices for S1-Transfer stimuli in

650 Phase 3 as a function of having awareness of both the S1-S2 relation and the S2-R contingency

651 relation for a given S1 (1=conjoint awareness, 0= no awareness; level 1 predictor).

	Experiment 1a			Experiment 1b		
Effects	<i>Odds Ratio</i>	<i>SE</i>	<i>Statistic</i>	<i>Odds Ratio</i>	<i>SE</i>	<i>Statistic</i>
Intercept	0.85	0.26	-0.55	0.92	0.26	-0.31
Awareness of SS & S2R	8.52	3.01	6.07***	5.70	2.36	4.20***
Model Fit						
*** = $p < .001$						